

TRILOGY AT LA QUINTA COMMUNITY SERVICES

CASH BASIS FINANCIAL STATEMENTS

FOR THE MONTH AND TWELVE MONTH(S) ENDED
MARCH 31, 2020

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THE ACCOMPANYING FINANCIAL STATEMENTS ARE SUBJECT TO AUDIT
AND ARE ONLY INTENDED FOR THE ASSOCIATION'S INTERNAL USE.

PREPARED BY:



FirstService
RESIDENTIAL

TRILOGY AT LA QUINTA COMMUNITY SERVICES COMPARATIVE BALANCE SHEET 03/31/2020

c/o FirstService Residential
 15241 Laguna Canyon Rd
 Irvine CA 92618

FirstService Residential CA
 15241 Laguna Canyon Road
 Irvine CA 92618

	CURRENT MONTH	PRIOR MONTH
<u>A S S E T S</u>		

CASH AND INVESTMENTS		
OPERATING ACCOUNT FUNDS	142,655.78	126,343.74
TOTAL CASH AND INVESTMENTS	142,655.78	126,343.74
OTHER ASSETS		
PREPAID EXPENSE	4,297.33	3,560.00
TOTAL OTHER ASSETS	4,297.33	3,560.00
TOTAL ASSETS	<u>146,953.11</u>	<u>129,903.74</u>
 <u>L I A B I L I T I E S</u> -----		
 <u>M E M B E R S E Q U I T Y</u> -----		
OPERATING FUND BALANCE-BEG OF YEAR	228,755.89	228,755.89
CURRENT YEAR INCOME/(LOSS)	(81,802.78)	(98,852.15)
TOTAL MEMBERS EQUITY	146,953.11	129,903.74
TOTAL LIABILITIES & EQUITY	<u>146,953.11</u>	<u>129,903.74</u>

Entity: TLQC TRILOGY LA QUINTA CSO

FirstService Residential CA
15241 Laguna Canyon Road
Irvine, CA 92618

As of date: 03/31/2020

Account	Type	Inv Acct	Maturity	Rate	Amount
11110 OPERATING CHECKING	USBANK US BANK	CKING 153499267117			69,455.61
11119 OPERATING INVESTMENT	COMERI COMERICA BANK	MNYMKT P1R-959074			73,200.17
Total (Cash)					142,655.78
Grand Total					142,655.78

TRILOGY AT LA QUINTA COMMUNITY SERVICES INCOME STATEMENT 03/31/2020

c/o FirstService Residential
15241 Laguna Canyon Rd
Irvine CA 92618

FirstService Residential CA
15241 Laguna Canyon Road
Irvine CA 92618

----- ACTUAL	----MONTH---- BUDGETED	----- VARIANCE		----- ACTUAL	YEAR TO DATE BUDGETED	----- VARIANCE	ANNUAL BUDGET
REVENUE							
33,424.50	21,000	12,424.50	14199	212,807.93	220,000	(7,192.07)	220,000
36.76	375	(338.24)	14121	3,279.07	4,500	(1,220.93)	4,500
33,461.26	21,375	12,086.26		216,087.00	224,500	(8,413.00)	224,500
GENERAL & ADMINISTRATIVE							
0.00	0	0.00	18604	779.44	2,500	1,720.56	2,500
0.00	0	0.00	19101	1,375.00	4,000	2,625.00	4,000
25.00	50	25.00	19105	10.00	180	170.00	180
0.00	0	0.00	19106	50.00	0	(50.00)	0
390.67	4,300	3,909.33	19107	1,518.09	5,900	4,381.91	5,900
0.00	900	900.00	19108	2,396.50	10,800	8,403.50	10,800
746.02	54	(692.02)	19111	1,867.26	4,000	2,132.74	4,000
0.00	9	9.00	19172	0.00	500	500.00	500
750.00	750	0.00	TQ19108	9,000.00	9,000	0.00	9,000
1,500.00	1,500	0.00	TQ19109	18,000.00	18,000	0.00	18,000
3,411.69	7,563	4,151.31		34,996.29	54,880	19,883.71	54,880
PROGRAM EXPENSES							
12,700.20	5,626	(7,074.20)	TQ15493	72,943.20	67,512	(5,431.20)	67,512
0.00	0	0.00	TQ18914	0.00	4,500	4,500.00	4,500
0.00	9,000	9,000.00	TQ18915	25,781.29	36,000	10,218.71	36,000
300.00	300	0.00	TQ18916	3,600.00	3,600	0.00	3,600
0.00	1,625	1,625.00	TQ19550	5,569.00	5,000	(569.00)	5,000
0.00	0	0.00	TQ19584	155,000.00	90,000	(65,000.00)	90,000
13,000.20	16,551	3,550.80		262,893.49	206,612	(56,281.49)	206,612
16,411.89	24,114	7,702.11		297,889.78	261,492	(36,397.78)	261,492
17,049.37	(2,739)	19,788.37		(81,802.78)	(36,992)	(44,810.78)	(36,992)

TRILOGY AT LA QUINTA COMMUNITY SERVICES MONTHLY YEAR TO DATE INCOME STATEMENT 03/31/2020

c/o FirstService Residential
 15241 Laguna Canyon Rd
 Irvine CA 92618

FirstService Residential CA
 15241 Laguna Canyon Road
 Irvine CA 92618

	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	TOTAL	
REVENUE														
14199	ENHANCEMENT FEES: RESALES	16605	23370	10728	21205	9040	8970	39203	17830	2700	22820	6913	33425	212808
14121	INTEREST REVENUE	484	286	345	352	375	419	338	59	274	51	259	37	3279
	TOTAL REVENUE	17089	23657	11072	21557	9415	9389	39541	17889	2974	22871	7172	33461	216087
GENERAL & ADMINISTRATIVE														
18604	PRINTING	0	0	0	779	0	0	0	0	0	0	0	0	779
19101	CPA SERVICES	0	0	0	0	750	0	625	0	0	0	0	0	1375
19105	FRANCHISE TAX BOARD	-25	0	0	0	0	0	0	0	0	10	0	25	10
19106	TAXES & LICENSES	0	0	0	0	0	0	0	0	0	50	0	0	50
19107	INSURANCE	-1534	263	267	267	267	267	267	267	267	267	267	391	1518
19108	GENERAL COUNSEL SERVICE	-147	294	250	250	250	0	500	250	250	250	250	0	2397
19111	MANAGEMENT REIMBURSABLE	478	422	1547	1384	-2925	0	106	0	48	62	0	746	1867
TQ19108	TLQMA SALARY REIMBURSEMENT	750	750	750	750	1500	0	750	750	750	750	750	750	9000
TQ19109	MANAGEMENT	0	1500	1500	0	4500	1500	1500	1500	1500	1500	1500	1500	18000
	TOTAL GENERAL & ADMINISTRATIVE	-478	3228	4314	3430	4341	1767	3748	2767	2814	2889	2767	3412	34996
PROGRAM EXPENSES														
TQ15493	CONTRACT AVENUE 60/MONROE AVE	5988	5988	5988	5988	5988	0	11976	5988	5988	6350	0	12700	72943
TQ18915	OTHER PROGRAMS	0	3585	0	0	0	7500	4696	5000	0	2500	2500	0	25781
TQ18916	AVE 60 TREE LIGHTING PROJECT	300	300	300	300	600	0	300	300	300	300	300	300	3600
TQ19550	HEALTH & WELLNESS FAIR EXPENSE	5569	0	0	0	0	0	0	0	0	0	0	0	5569
TQ19584	DUST MITIGATION PROJECT EXPENSE	0	0	0	0	0	0	55000	0	0	0	100000	0	155000
	TOTAL PROGRAM EXPENSES	11857	9873	6288	6288	6588	7500	71972	11288	6288	9150	102800	13000	262893
	TOTAL EXPENSES	11379	13101	10602	9718	10929	9267	75720	14055	9102	12039	105567	16412	297890
	<i>NET INCOME/(LOSS)</i>	<i>5710</i>	<i>10555</i>	<i>470</i>	<i>11839</i>	<i>-1514</i>	<i>122</i>	<i>-36179</i>	<i>3834</i>	<i>-6128</i>	<i>10832</i>	<i>-98395</i>	<i>17049</i>	<i>-81803</i>

Subaccount General Ledger TLQC TRILOGY LA QUINTA CSO For Dates 03/01/2020 to 03/31/2020

FirstService Residential CA

Account	SubAcct	Type	Reference	Date	Description	Debit Amount	Credit Amount	Balance
11110	OPERATING CHECKING							
	USBANK US BANK				Beginning Balance			53,180.33
		ACK	TLQC -000712	03/05/2020	FARMERS INSURANCE GR		1,128.00	
		ACK	TLQC -000713	03/05/2020	FIRSTSERVICE RESIDEN		709.11	
		ACK	TLQC -000714	03/05/2020	SUNSHINE LANDSCAPE		6,350.10	
		ACK	TLQC -000715	03/10/2020	TRILOGY AT LA QUINTA		1,050.00	
		ACK	TLQC -000716	03/16/2020	FRANCHISE TAX BOARD		25.00	
		ACK	TLQC -000717	03/17/2020	FIRSTSERVICE RESIDEN		1,500.00	
		ACK	TLQC -000718	03/24/2020	FIRSTSERVICE RESIDEN		36.91	
		ACK	TLQC -000719	03/31/2020	SUNSHINE LANDSCAPE		6,350.10	
	TLQ TO TLQC	JE	033120-ZACCT	03/31/2020	CLEAR Z ACCOUNTS	2,675.00		
	TGL TO TLQC	JE	033120-ZACCT	03/31/2020	CLEAR Z ACCOUNTS	2,225.00		
		RCP	05240391	03/02/2020	RM Cash Proc Post	7,595.00		
		RCP	05247939	03/04/2020	RM Cash Proc Post	3,610.00		
		RCP	05261054	03/12/2020	RM Cash Proc Post	2,145.00		
		RCP	05265757	03/18/2020	RM Cash Proc Post	1,950.00		
		RCP	05266020	03/18/2020	RM Cash Proc Post	1,499.50		
		RCP	05274768	03/20/2020	RM Cash Proc Post	2,725.00		
		RCP	05266135	03/23/2020	RM Cash Proc Post	5,050.00		
		RCP	05277396	03/27/2020	RM Cash Proc Post	2,025.00		
		RCP	05282425	03/27/2020	RM Cash Proc Post	1,925.00		
					Subaccount Total	33,424.50	17,149.22	16,275.28
					Subaccount Balance			69,455.61
					Account Total	33,424.50	17,149.22	16,275.28
					Account Balance			69,455.61
					Entity Totals	33,424.50	17,149.22	16,275.28
					Entity Balance			69,455.61

General Ledger TLQC TRILOGY LA QUINTA CSO For Dates 03/01/2020 to 03/31/2020

For All Accounts

FirstService Residential CA

Account	Type	Reference	Date	Description	Debit Amount	Credit Amount	Balance
11110	OPERATING CHECKING			Beginning Balance			53,180.33
				Sub Ledger Activity	16,275.28		
				Account Total	16,275.28	0.00	16,275.28
				Ending Balance			69,455.61
11119	OPERATING INVESTMENT			Beginning Balance			73,163.41
				Sub Ledger Activity	36.76		
				Account Total	36.76	0.00	36.76
				Ending Balance			73,200.17
11509	PREPAID EXPENSE			Beginning Balance			3,560.00
	JE	01207675	03/31/2020	MAR20 PREPAID INS		296.67	
	JE	01207681	03/31/2020	R/C FARMERS	1,034.00		
				INSURANC			
				Account Total	1,034.00	296.67	737.33
				Ending Balance			4,297.33
13999	OPERATING EQUITY			Beginning Balance			-228,755.89
				Ending Balance			-228,755.89
14000	HOMEOWNER ASSESSMENT REVENUE			Beginning Balance			0.00
	RTP	05242067	03/09/2020	RM Transfer Payment		2,225.00	
	RCP	05274768	03/20/2020	RM Cash Proc Post		2,725.00	
	RCP	05277396	03/27/2020	RM Cash Proc Post		2,025.00	
	JE	01207676	03/31/2020	R/C 14000 - 14199	6,975.00		
				Account Total	6,975.00	6,975.00	0.00
				Ending Balance			0.00
14121	INTEREST REVENUE			Beginning Balance			-3,242.31
	JE	01207671	03/31/2020	MAR20 11119		36.76	
				INTEREST			
				Account Total	0.00	36.76	-36.76
				Ending Balance			-3,279.07
14199	ENHANCEMENT FEES: REALES			Beginning Balance			-179,383.43
	RCP	05240391	03/02/2020	RM Cash Proc Post		7,595.00	
	RCP	05247939	03/04/2020	RM Cash Proc Post		3,610.00	
	RTP	05240390	03/06/2020	RM Transfer Payment		2,675.00	
	RCP	05261054	03/12/2020	RM Cash Proc Post		2,145.00	
	RCP	05265757	03/18/2020	RM Cash Proc Post		1,950.00	
	RCP	05266020	03/18/2020	RM Cash Proc Post		1,499.50	
	RCP	05266135	03/23/2020	RM Cash Proc Post		5,050.00	
	RCP	05282425	03/27/2020	RM Cash Proc Post		1,925.00	
	JE	01207676	03/31/2020	R/C 14000 - 14199		6,975.00	
				Account Total	0.00	33,424.50	-33,424.50
				Ending Balance			-212,807.93
18604	PRINTING			Beginning Balance			779.44
				Ending Balance			779.44
19101	CPA SERVICES			Beginning Balance			1,375.00
				Ending Balance			1,375.00
19105	FRANCHISE TAX BOARD			Beginning Balance			-15.00
	FRANCHISE TAX BOARD	ACK	TLQC -000716	03/16/2020	FRANCHISE TAX	25.00	
				BOARD			
				Account Total	25.00	0.00	25.00
				Ending Balance			10.00
19106	TAXES & LICENSES			Beginning Balance			50.00
				Ending Balance			50.00
19107	INSURANCE			Beginning Balance			1,127.42
	TLQS - Insurance 2020	ACK	TLQC -000712	03/05/2020	FARMERS	1,128.00	
				INSURANCE GR			
	JE	01207675	03/31/2020	MAR20 PREPAID INS	296.67		
	JE	01207681	03/31/2020	R/C FARMERS		1,034.00	
				INSURANC			
				Account Total	1,424.67	1,034.00	390.67
				Ending Balance			1,518.09
19108	GENERAL COUNSEL SERVICE			Beginning Balance			2,396.50
				Ending Balance			2,396.50

General Ledger TLQC TRILOGY LA QUINTA CSO For Dates 03/01/2020 to 03/31/2020

For All Accounts

FirstService Residential CA

Account	Type	Reference	Date	Description	Debit Amount	Credit Amount	Balance
19111				MANAGEMENT REIMBURSABLE			1,121.24
				Beginning Balance			
				January 2020 Reimb. Expenses			
	ACK	TLQC -000713	03/05/2020	FIRSTSERVICE RESIDEN	709.11		
				Management Reimb. Feb 2020			
	ACK	TLQC -000718	03/24/2020	FIRSTSERVICE RESIDEN	36.91		
				Account Total	746.02	0.00	746.02
				Ending Balance			1,867.26
TQ15493				CONTRACT AVENUE 60/MONROE AVE			60,243.00
				Beginning Balance			
				Feb 2020 Service			
	ACK	TLQC -000714	03/05/2020	SUNSHINE LANDSCAPE	6,350.10		
				Ave 60/Monroe Mar 2020 Service			
	ACK	TLQC -000719	03/31/2020	SUNSHINE LANDSCAPE	6,350.10		
				Account Total	12,700.20	0.00	12,700.20
				Ending Balance			72,943.20
TQ18915				OTHER PROGRAMS			25,781.29
				Beginning Balance			25,781.29
				Ending Balance			25,781.29
TQ18916				AVE 60 TREE LIGHTING PROJECT			3,300.00
				Beginning Balance			3,300.00
				Ave 60 Lighting Mar 2020			
	ACK	TLQC -000715	03/10/2020	TRILOGY AT LA QUINTA	300.00		
				Account Total	300.00	0.00	300.00
				Ending Balance			3,600.00
TQ19108				TLQMA SALARY REIMBURSEMENT			8,250.00
				Beginning Balance			8,250.00
				Salary Reimb Mar 2020			
	ACK	TLQC -000715	03/10/2020	TRILOGY AT LA QUINTA	750.00		
				Account Total	750.00	0.00	750.00
				Ending Balance			9,000.00
TQ19109				MANAGEMENT			16,500.00
				Beginning Balance			16,500.00
				Management Fee March 2020			
	ACK	TLQC -000717	03/17/2020	FIRSTSERVICE RESIDEN	1,500.00		
				Account Total	1,500.00	0.00	1,500.00
				Ending Balance			18,000.00
TQ19550				HEALTH & WELLNESS FAIR EXPENSE			5,569.00
				Beginning Balance			5,569.00
				Ending Balance			5,569.00
TQ19584				DUST MITIGATION PROJECT EXPENSE			155,000.00
				Beginning Balance			155,000.00
				Ending Balance			155,000.00
				Entity Totals	41,766.93	41,766.93	0.00

For Journal Entries Dated 03/01/20 to 03/31/20
For All Batch Numbers
For Journal Type - Ref No's JE - to JER -
ZZZZZZZZ

FirstService Residential CA
15241 Laguna Canyon Road
Irvine, CA 92618

Type	Reference	Description	Date Entity	User Account	Subacct	Create Date Description	Debit	Credit
JE	01207671	MAR20 11119 INTEREST TRILOGY LA QUINTA CSOTLQC TRILOGY LA QUINTA CSOTLQC	03/31/2020	daytons 11119 14121	COMERI	04/13/2020 COMERICA BANK INTEREST REVENUE	36.76	36.76
JE	01207675	MAR20 PREPAID INS TRILOGY LA QUINTA CSOTLQC TRILOGY LA QUINTA CSOTLQC	03/31/2020	daytons 11509 19107		04/13/2020 PREPAID EXPENSE INSURANCE	296.67	296.67
JE	01207676	R/C 14000 - 14199 TRILOGY LA QUINTA CSOTLQC TRILOGY LA QUINTA CSOTLQC	03/31/2020	daytons 14000 14199		04/13/2020 HOMEOWNER ASSESSMENT ENHANCEMENT FEES: RE	6,975.00	6,975.00
JE	01207681	R/C FARMERS INSURANC TRILOGY LA QUINTA CSOTLQC TRILOGY LA QUINTA CSOTLQC	03/31/2020	daytons 11509 19107		04/13/2020 PREPAID EXPENSE INSURANCE	1,034.00	1,034.00
JE	033120- ZACCT	CLEAR Z ACCOUNTS TRILOGY LA QUINTA CSOTLQC TRILOGY LA QUINTA CSOTLQC TRILOGY LA QUINTA CSOTLQC	03/31/2020	amyP 11110 11110 Z11999		03/30/2020 USBANKUS BANK USBANKUS BANK GL General Ledger	2,675.00 2,225.00	4,900.00
Entity Totals							13,242.43	13,242.43

Receipts Statement
 TRILOGY LA QUINTA CSO
 03/01/2020 thru 03/31/2020

Project: TL

Management Co: FirstService Residential CA
 15241 Laguna Canyon Road
 Irvine, CA 92618

Unit LT/UNT Resident	Status	Date	Beg Balance	Current	Credit/Adj	Payments	Balance
=====							
ASSOC TL-QCS TRILOGY LA QUINTA CS							

0113 140	02 KILLIANY, THOMAS	C	70.64	17.66			88.30
0134 55	02 STEPHENSON, CAROL	C	750.00				750.00
0202 157	02 LESLIE TTEE, ROBERT	C			1,515.00	-1,515.00	
0330 1	02 PROVINCE, JEFFREY	C			1,499.50	-1,499.50	
0337 46	02 DECAUSEMAKER, RUSSELL	C			2,145.00	-2,145.00	
0452 72	02 PERKO, BRIAN	C				-2,725.00	-2,725.00
0473 38	04 PEARSON, CHARLES	C			2,225.00	-2,225.00	
0517 7	02 REED, HARVEY	C			1,950.00	-1,950.00	
0772 47	03 GUY, PAUL	C			1,775.00	-1,775.00	
0887 36	03 DEETZ, JILL	C			2,675.00	-2,675.00	
0962 76	02 PAIK, BANGKI	C			2,095.00	-2,095.00	
0968 73	02 MUDRICK TTEE, RHONDA	C			2,250.00	-2,250.00	
0975 56	01 DOMINIK, JANE	P				-2,025.00	-2,025.00
1026 156	02 JONES, THOMAS	C			2,800.00	-2,800.00	
1060 63	04 MOCH TTEE, DEBORAH	C			3,475.00	-3,475.00	
1122 186	01 Hogan, Robert	P	3,675.00				3,675.00
1138 8	03 MERSELIS, JAMES	C			2,345.00	-2,345.00	
1223 17	02 PELLETTIER, JEAN	C			1,925.00	-1,925.00	
Totals for Project: TL							

01	ASSESSMENT		0.00		2,225.00	-6,975.00	0.00
			0.00				-4,750.00
	PP Prepaid				2,225.00		
CF	CEF RESALE		4,495.64	17.66	26,449.50	-26,449.50	4,513.30
			0.00				0.00
	CR Credit/Charge				28,674.50		
	PP Prepaid				-2,225.00		

Totals:			4,495.64	17.66	28,674.50	-33,424.50	-236.70
	Misc Income					0.00	0.00

GL Disbursement Register TLQC TRILOGY LA QUINTA CSO For Dates 03/01/2020 to 03/31/2020

For All Accounts
For All Subaccounts

Account	SubAcct	Check Description	Check	Chk Date	Invoice	Inv Date	Amount	
19105		FRANCHISE TAX BOARD						
		FRANCHISE TAX BOARD	FRANCHISE TAX BOARD	000716	03/16/2020	TLQC18-Other	02/20/2020	25.00
						Account Total	25.00	
19107		INSURANCE						
		TLQS - Insurance 2020	FARMERS INSURANCE GR	000712	03/05/2020	082274021820	02/18/2020	1,128.00
						Account Total	1,128.00	
19111		MANAGEMENT REIMBURSABLE						
		January 2020 Reimb. Expenses	FIRSTSERVICE RESIDEN	000713	03/05/2020	00446714	02/25/2020	709.11
		Management Reimb. Feb 2020	FIRSTSERVICE RESIDEN	000718	03/24/2020	00455783	03/17/2020	36.91
						Account Total	746.02	
TQ15493		CONTRACT AVENUE 60/MONROE AVE						
		Feb 2020 Service	SUNSHINE LANDSCAPE	000714	03/05/2020	54507	01/25/2020	6,350.10
		Ave 60/Monroe Mar 2020 Service	SUNSHINE LANDSCAPE	000719	03/31/2020	54813	02/25/2020	6,350.10
						Account Total	12,700.20	
TQ18916		AVE 60 TREE LIGHTING PROJECT						
		Ave 60 Lighting Mar 2020	TRILOGY AT LA QUINTA	000715	03/10/2020	030120TLQC-1	03/03/2020	300.00
						Account Total	300.00	
TQ19108		TLQMA SALARY REIMBURSEMENT						
		Salary Reimb Mar 2020	TRILOGY AT LA QUINTA	000715	03/10/2020	030120TLQC-2	03/03/2020	750.00
						Account Total	750.00	
TQ19109		MANAGEMENT						
		Management Fee March 2020	FIRSTSERVICE RESIDEN	000717	03/17/2020	00450378	03/02/2020	1,500.00
						Account Total	1,500.00	
						Total Disbursements	17,149.22	

For Checks Dated 03/01/2020 to 03/31/2020
For All Check Numbers

Bank: TLQC TRILOGY LA QUINTA CS

TLQC TRILOGY AT LA QUINTA COMM SVCS

Check	Vendor	Type	Ck Date	Void Date	Recon Date	Amount
	Vch # Invoice	Proc Date	Invoice Amt	Amt Paid		
000712	FAGRO FARMERS INSURANCE GROUP	C	03/05/2020			1,128.00
	05811597 082274021820	03/04/2020	1,128.00	1,128.00		1,128.00
000713	FIRES FIRSTSERVICE RESIDENTIAL	C	03/05/2020			709.11
	05811617 00446714	03/04/2020	709.11	709.11		709.11
000714	SULAN4 SUNSHINE LANDSCAPE	W	03/05/2020			6,350.10
	05811563 54507	03/04/2020	6,350.10	6,350.10		6,350.10
000715	TLQ TRILOGY AT LA QUINTA	C	03/10/2020			1,050.00
	05816073 030120TLQC-1	03/09/2020	300.00	300.00		300.00
	05816076 030120TLQC-2	03/09/2020	750.00	750.00		750.00
000716	FRTAX1 STATE OF CALIFORNIA	C	03/16/2020			25.00
	05821572 TLQC18-Other	03/16/2020	25.00	25.00		25.00
000717	FIRES FIRSTSERVICE RESIDENTIAL	C	03/17/2020			1,500.00
	05822164 00450378	03/17/2020	1,500.00	1,500.00		1,500.00
000718	FIRES FIRSTSERVICE RESIDENTIAL	C	03/24/2020			36.91
	05832353 00455783	03/24/2020	36.91	36.91		36.91
000719	SULAN4 SUNSHINE LANDSCAPE	W	03/31/2020			6,350.10
	05837506 54813	03/31/2020	6,350.10	6,350.10		6,350.10
Bank Totals						17,149.22
						17,149.22
Total Number of Checks						8

BANK ACCOUNT RECONCILIATION

Entity: TLQC
 Prepared by: Dayton Smith
 Reviewed by:

ASSOCIATION: TRILOGY AT LA QUINTA COMMUNITY SERVICES

G/L CODE: 11110 US BANK

BANK ACCOUNT NUMBER: 153499267117

STATEMENT DATE: 3/31/2020

Balance per bank \$ 82,269.06

Outstanding Checks

Check #	Amount	Ck#	Amount	Ck #	Amount
400	\$ 35.00				
587	\$ 5,626.10				
595	\$ 35.00				
719	\$ 6,350.10				

Less Total Outstanding Checks \$ 12,046.20

Adjusted Bank Balance \$ 70,222.86

Balance per books

11110 \$ 69,455.61
 1/25 White Pearl Reversal \$ 767.25 2/13 Bank Research

Adjusted Book Balance \$ 70,222.86



P.O. Box 1800
Saint Paul, Minnesota 55101-0800

8823 TRN 6480 S Y ST01

Business Statement

Account Number:
1 534 9926 7117
Statement Period:
Mar 2, 2020
through
Mar 31, 2020

Page 1 of 3



000023681 01 SP 000638416650895 S
TRILOGY AT LA QUINTA COMM SERVICE
OPERATING
C/O FIRSTSERVICE RESIDENTIAL CALIFORNIA
15241 LAGUNA CANYON RD
IRVINE CA 92618-3146



To Contact U.S. Bank

**Commercial Customer
Service:**

1-800-400-4886

U.S. Bank accepts Relay Calls

Internet:

usbank.com

NEWS FOR YOU

You can now send Real-Time Payment (RTP) credit transfers up to \$100,000. With a higher limit, now is a great time to explore how a 24/7/365 real-time payment capability can help your business. If you haven't tried RTP today and want to discuss adding this capability to your U.S. Bank services, please contact your Commercial Customer Service Team (see Customer Service in SinglePoint left-hand navigation) or talk to your Treasury Management Consultant.

INFORMATION YOU SHOULD KNOW

Effective May 11, 2020 the "Your Deposit Account Agreement" booklet will include updates that may affect your rights. The main updates to note in the revised "Your Deposit Account Agreement" booklet sections, and sub sections, are:

- Included in multiple sections
 - Clarification around reoccurring or one-time merchant debit card transactions
 - Rebranding of the Premier Line of Credit product to Personal Line of Credit
 - Clarification on ATM deposit availability
- Addition of "Special Provisions for Third Party Accounts" section
- Definitions section
 - Added the definition for "account" or "statement" cycle
- Savings Account section
 - Clarification on "Transfer and/or Withdrawal Restrictions"
 - Clarification on "Excessive Transfers and/or Withdrawals"
- Levies, Garnishments and other Legal Process section
 - Additional language and clarity on the legal process
- Funds Availability section
 - Changes to the Funds Availability section to reflect inflationary adjustments to certain specified dollar amounts for deposited funds:

Funds Availability Section	Current Amounts	Amounts effective as of May 11, 2020
Immediate Availability - All Accounts	Up to first \$200	Up to first \$225
Longer Delays May Apply - Case by Case Delays	The first \$200 of your deposit	(Increases to) \$225
Longer Delays May Apply - Safeguard Exceptions	Deposit of Check(s) greater than \$5,000	(Increases to) \$5,525
Special Rules for New Accounts - Retail Consumer and Business Accounts	All references of \$5,000	(Increases to) \$5,525

- Determining the Availability of a Deposit - All Accounts sub-section
 - Updated timing on deposits done at an ATM
- Deposits at Automated Teller Machines sub-section
 - Addition of Partner ATMs section
- Removed the following content and will be distributed upon individual product purchase
 - Safe Deposit Box Agreement



TRILOGY AT LA QUINTA COMM SERVICE
 OPERATING
 C/O FIRSTSERVICE RESIDENTIAL CALIFORNIA
 15241 LAGUNA CANYON RD
 IRVINE CA 92618-3146

Business Statement

Account Number:
 1 534 9926 7117
 Statement Period:
 Mar 2, 2020
 through
 Mar 31, 2020

INFORMATION YOU SHOULD KNOW

(CONTINUED)

- o Consumer Reserve Line Agreement
- o Business Reserve Line Agreement

Starting May 11, download a copy of the revised booklet at usbank.com/tmtermsandconditions. You may also call your customer service team at the phone number listed at the top of this statement to request a copy.

COMMUNITY ASSOCIATION CHECKING

Member FDIC

U.S. Bank National Association

Account Number 1-534-9926-7117

Account Summary

	# Items		
Beginning Balance on Mar 2		\$	59,643.68
Other Deposits	11		33,424.50
Other Withdrawals	1		6,350.10-
Checks Paid	6		4,449.02-
Ending Balance on Mar 31, 2020		\$	82,269.06

Other Deposits

<i>Date</i>	<i>Description of Transaction</i>	<i>Ref Number</i>		<i>Amount</i>
Mar 2	Consolidated Image Check	Deposit 1 Items 0000000000	\$	7,595.00
Mar 4	Consolidated Image Check	Deposit 1 Items 0000000000		3,610.00
Mar 12	Consolidated Image Check	Deposit 1 Items 0000000000		2,145.00
Mar 18	Consolidated Image Check	Deposit 2 Items 0000000000		3,449.50
Mar 20	Consolidated Image Check	Deposit 1 Items 0000000000		2,725.00
Mar 23	Consolidated Image Check	Deposit 1 Items 0000000000		5,050.00
Mar 27	Consolidated Image Check	Deposit 2 Items 0000000000		3,950.00
Mar 31	Electronic Funds Transfer TGL TO TLQC	From Account 157515567327		2,225.00
Mar 31	Electronic Funds Transfer TLQ TO TLQC	From Account 157503235127		2,675.00
Total Other Deposits			\$	33,424.50

Other Withdrawals

<i>Date</i>	<i>Description of Transaction</i>	<i>Ref Number</i>		<i>Amount</i>
Mar 9	Electronic Withdrawal REF=200690026131420N00	To AVIDPAY SERVICE D871861608AVIDPAY CK714	\$	6,350.10-
Total Other Withdrawals			\$	6,350.10-

Checks Presented Conventionally

<i>Check</i>	<i>Date</i>	<i>Ref Number</i>	<i>Amount</i>	<i>Check</i>	<i>Date</i>	<i>Ref Number</i>	<i>Amount</i>
0712	Mar 17	8355241994	1,128.00	0716	Mar 26	8952261030	25.00
0713	Mar 6	9255609968	709.11	0717	Mar 23	8056957867	1,500.00
0715*	Mar 16	8055088401	1,050.00	0718	Mar 30	8056639362	36.91
Conventional Checks Paid (6)			\$	4,449.02-			

* Gap in check sequence

Balance Summary

<i>Date</i>	<i>Ending Balance</i>	<i>Date</i>	<i>Ending Balance</i>	<i>Date</i>	<i>Ending Balance</i>
Mar 2	67,238.68	Mar 12	65,934.47	Mar 20	69,930.97
Mar 4	70,848.68	Mar 16	64,884.47	Mar 23	73,480.97
Mar 6	70,139.57	Mar 17	63,756.47	Mar 26	73,455.97
Mar 9	63,789.47	Mar 18	67,205.97	Mar 27	77,405.97



TRILOGY AT LA QUINTA COMM SERVICE
 OPERATING
 C/O FIRSTSERVICE RESIDENTIAL CALIFORNIA
 15241 LAGUNA CANYON RD
 IRVINE CA 92618-3146

Business Statement

Account Number:
 1 534 9926 7117
 Statement Period:
 Mar 2, 2020
 through
 Mar 31, 2020

Page 3 of 3

COMMUNITY ASSOCIATION CHECKING

(CONTINUED)

U.S. Bank National Association

Account Number 1-534-9926-7117

Balance Summary (continued)

<u>Date</u>	<u>Ending Balance</u>	<u>Date</u>	<u>Ending Balance</u>
Mar 30	77,369.06	Mar 31	82,269.06

Balances only appear for days reflecting change.

BANK ACCOUNT RECONCILIATION

Prepared by: Dayton Smith

Reviewed by:

ASSOCIATION: TRILOGY AT LA QUINTA COMMUNITY SERVICES

G/L CODE: 11119

BANK ACCOUNT NUMBER: P1R-959074

STATEMENT DATE: 3/31/2020

Balance per bank Total Money Market \$ 73,200.17

Outstanding Checks

Check #	Amount	Check #	Amount	Check #	Amount
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

Less Total Outstanding Checks \$ -

Adjusted Bank Balance **\$ 73,200.17**

Balance per books Total Money Market \$ 73,200.17

\$ -

\$ 73,200.17



Comerica Securities, Inc.
411 West Lafayette
Mail Code 3137
Detroit, MI 48228
Phone: 800.232.6983

Comerica Securities, Inc.
Member FINRA/SIPC

Brokerage Account Statement

AV 02 071048 54311H295 A**5DGT

March 1, 2020 - March 31, 2020
Account Number: P1R-959074

TRIOLOGY LA QUINTA COMMNTY SVC
C/O FIRSTSERVICE RESIDENTIAL
15241 LAGUNA CANYON RD
IRVINE CA 92618-3146



Portfolio at a Glance

	This Period	Year-to-Date
BEGINNING ACCOUNT VALUE	\$73,163.41	\$147,852.24
Net Cash Deposits and Withdrawals	0.00	-75,000.00
Adjusted Previous Account Value	73,163.41	72,852.24
Dividends, Interest and Other Income	36.76	346.43
Net Change in Portfolio¹	0.00	1.50
ENDING ACCOUNT VALUE	\$73,200.17	\$73,200.17
Estimated Annual Income	\$144.79	

¹ Net Change in Portfolio is the difference between the ending account value and beginning account value after activity.

Your Financial Consultant:

Van Ruiten/Matsumoto/Affrunti/Ca
(800) 232-6983

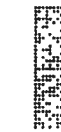
The Bank Deposits in your account are FDIC insured bank deposits.

FDIC insured bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). These bank deposits are covered by the Federal Deposit Insurance Corporation (FDIC), up to allowable limits.

Asset Summary

Asset	Asset Type	Prior Year-End	Last Period	This Period
Cash, Money Funds, and Bank Deposits		97,853.74	73,163.41	73,200.17
Fixed Income		49,998.50	0.00	0.00
Account Total		\$147,852.24	\$73,163.41	\$73,200.17

Please review your allocation periodically with your Financial Consultant.
Account is 100% invested in Cash, Money Funds, and Bank Deposits.



A0000000CS112DP



Rated Excellent
Every Year Since 2007
DAAIBAF RATED COMMUNICATIONS
EXCELLENCE

Clearing through Pershing LLC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon)
DAAIBAF RATED
Member FINRA SIPC

Information

This Period	Year-to-Date
\$0.00	\$50,000.00

Your Information

Message:

...llon's Pershing has been advised by your financial organization that it does not receive payment for directing order flow. See the Terms and Conditions section of your brokerage joint statement for a description of Pershing's payment for order flow practices.

For additional information regarding the order routing practices and the venues to which your Held equity or Held and Not Held option orders were routed, you may view a quarterly Order Routing Disclosure pursuant to SEC 606(a) by visiting <http://www.orderroutingdisclosure.com/>.

Upon written request to Pershing, you may obtain the identity of the venue to which your Held equity and Held and Not Held option orders were routed for the six months prior to your request and the time of the transactions that may have resulted from such orders pursuant to SEC Rule 606(b)(1).

Notice of Fee Schedule Changes:

Please be informed, we have recently made changes to our fee schedule that may impact your cost to invest with Comerica Securities. To obtain a copy of the most current fee schedule, please visit www.comerica.com/securities and select Brokerage Services, then Tools & Resources. You can also contact your Financial Consultant or our Customer Service Group at 1.800.232.6983.

Client Service Information

Your Financial Consultant: XVI Van Ruiten/Matsumoto/Affrunti/Ca 411 W. Lafayette Detroit MI 48226-0000	Contact Information Telephone Number: (800) 232-6983 Fax Number: (248) 732-5485 E-Mail Address: securities_mail@comerica.com	Client Service Information Service Hours: Monday - Friday 08:00 a.m. - 08:00 p.m. (EST) Client Service Telephone Number: (800) 232-6983 Web Site: COMERICA.COM/SECURITIES
------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Your Account Information

INVESTMENT OBJECTIVE
Investment Objective: INCOME
Investment Objective: SAFETY OF PRINCIPAL
Please review your investment objective. If you wish to make a change or have any questions please contact your Financial Consultant.





March 1, 2020 - March 31, 2020
TRILOGY LA QUINTA COMMNTY SVC

Your Account Information (continued)

TAX LOT DEFAULT DISPOSITION METHOD

Default Method for Mutual Funds: Average Cost (Using First In First Out)
 Default Method for Stocks in a Dividend Reinvestment Plan: First In First Out
 Default Method for all Other Securities: First In First Out

BOND AMORTIZATION ELECTIONS

Amortize premium on taxable bonds based on Constant Yield Method: Yes
 Accrual market discount method for all other bond types: Constant Yield Method
 Include market discount in income annually: No

ELECTRONIC DELIVERY

Your electronic delivery selections for account communications are listed below:

Electronic Delivery

Enrollment

- X Statements and Reports
- X Trade Confirmations
- X Tax Documents
- X Notifications
- X Prospectus
- X Proxy/Shareholder Communications

Communication

You have not enrolled any of the above documents for electronic delivery. Please log in to your account or contact your Financial Consultant to make any changes to your electronic delivery preferences.

Portfolio Holdings

Description	Quantity	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield
CASH, MONEY FUNDS AND BANK DEPOSITS 100.00% of Portfolio						
FDIC Insured Bank Deposits						
DREYFUS INS DEPOSIT PROGRAM I	73,200.170	73,163.41	73,200.17	0.95	144.79	N/A
Total FDIC Insured Bank Deposits		\$73,163.41	\$73,200.17	\$0.95	\$144.79	
TOTAL CASH, MONEY FUNDS AND BANK DEPOSITS		\$73,163.41	\$73,200.17	\$0.95	\$144.79	
Total Portfolio Holdings						
		Market Value	\$73,200.17	Accrued Interest	Estimated Annual Income	
				\$0.00	\$144.79	

Portfolio Holdings Disclosures

Pricing

This section includes the net market value of the securities in your account on a settlement date basis, including short positions, at the close of the statement period. The market prices, unless otherwise noted, have been obtained from independent vendor services, which we believe to be reliable. Market prices do not constitute a bid or an offer, and may differ from the actual sale price. Securities for which a price is not available are marked "N/A" and are omitted from the Total. THE AS OF PRICE DATE ONLY APPEARS WHEN THE PRICE DATE DOES NOT EQUAL THE STATEMENT DATE.

Estimated Annual Figures

The estimated annual income (EAI) and estimated annual yield (EAY) figures are estimates and for informational purposes only. These figures are not considered to be a forecast or guarantee of future results. These figures are computed using information from providers believed to be reliable; however, no assurance can be made as to the accuracy. Since interest and dividend rates are subject to change at any time, and may be affected by current and future economic, political, and business conditions, they should not be relied on for making investment, trading, or tax decisions. These figures assume that the position quantities, interest and dividend rates, and prices remain constant. A capital gain or return of principal may be included in the figures for certain securities, thereby overstating them. Refer to www.pershing.com/disclosures for specific details as to formulas used to calculate the figures. Accrued interest represents interest earned but not yet received.

Foreign Currency Transactions

Pershing will execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote

Securities not fully paid for in your margin account may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin may be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Activity Summary (All amounts shown are in base currency)

	Credits This Period	Debits This Period	Net This Period	Credits Year-to-Date	Debits Year-to-Date	Net Year-to-Date
Dividends and Interest	\$36.76	\$0.00	\$36.76	\$346.43	\$0.00	\$346.43
Distributions	\$0.00	\$0.00	\$0.00	\$50,000.00	\$0.00	\$50,000.00
Cash						
Withdrawals	0.00	0.00	0.00	0.00	-75,000.00	-75,000.00
Total Cash	\$0.00	\$0.00	\$0.00	\$0.00	-\$75,000.00	-\$75,000.00
FDIC Insured Bank Deposits	\$0.00	-\$36.76	-\$36.76	\$75,000.00	-\$50,589.51	\$24,410.49
Totals	\$36.76	-\$36.76	\$0.00	\$125,346.43	-\$125,589.51	-\$243.08



March 1, 2020 - March 31, 2020
TRILOGY LA QUINTA COMMNTY SVC

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount	Currency
03/13/20	FDIC INSURED BANK DEPOSITS INTEREST REINVESTED	DREYFUS INSURED INTEREST REINVESTED				-36.76	USD
03/13/20	FDIC INSURED BANK DEPOSITS INTEREST RECEIVED	DREYFUS INSURED INTEREST CREDITED				36.76	USD
Total Value of Transactions						\$0.00	USD

The price and quantity displayed may have been rounded.

Income and Expense Summary

	Current Period		Year-to-Date	
	Taxable	Non Taxable	Taxable	Non Taxable
Interest Income				
FDIC Insured Bank Deposits	36.76	0.00	144.79	0.00
Other Interest	0.00	0.00	201.64	0.00
Total Income	\$36.76	\$0.00	\$346.43	\$0.00

FDIC Insured Bank Deposits

Date	Activity Type	Description	Amount	Balance
Sweep FDIC Insured Bank Deposits				
DREYFUS INS DEPOSIT PROGRAM I				
Activity Ending: 03/31/20				
02/29/20	Opening Balance	INTEREST CREDITED	73,163.41	73,163.41
03/13/20	Deposit	The Bank of East Asia	36.76	73,200.17

FDIC Insured Bank Deposits (continued)

Date	Activity Type	Description	Amount	Balance
		a/o 03/15	\$73,200.17	\$73,200.17
		YIELD 0.63%		\$73,200.17
03/31/20	Closing Balance			
	Total FDIC Insured Bank Deposits			

The FDIC Insured Bank Deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). The Bank Deposits at each bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 for each category of legal ownership including individual retirement accounts and certain other self directed retirement accounts. Please review this in connection with other deposits you may have at each respective bank.

Messages

Although a money market mutual fund seeks to preserve the value of your investment at \$1 per share, it is possible to lose money by investing in a money market mutual fund. Shares of a money market mutual fund or the balance of a bank deposit product held in your brokerage account may be liquidated upon request with the proceeds credited to your brokerage account. Please see the money market mutual fund's prospectus or the bank deposit product's disclosure document or contact your advisor for additional information. Pursuant to SEC Rule 10b-10(b)(1) confirmations are not sent for purchases into money market mutual funds processed on the sweep platform. Pursuant to applicable regulation, account statements will be produced monthly or quarterly.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC)-INSURED BANK DEPOSITS ARE NOT PROTECTED BY SECURITIES INVESTOR PROTECTION CORPORATION (SIPC). YOU MAY VIEW CURRENT MONEY MARKET FUND RATES BY VISITING WWW.PERSHING.COM AND SELECTING THE LINK AT THE BOTTOM OF THE PAGE TITLED "MONEY MARKET MUTUAL FUND & FDIC-INSURED DEPOSITS PROGRAM RATES & BANK LISTS". PLEASE NOTE THAT WE MAY NOT OFFER ALL OF THE MONEY MARKET FUNDS LISTED ON THE PERSHING WEBSITE.

IMPORTANT REGULATORY NOTICE:

The Comerica Securities, Inc Audited Statement of Financial Condition as of December 31, 2019 may be viewed on the Comerica Securities website, www.comerica.com (click on "Wealth Management / Investments / Brokerage Services"). The audited financial statement is available for examination at the principal office of Comerica Securities: 411 W. Lafayette, Detroit, Michigan 48226 and at the Chicago Regional Office of the Securities and Exchange Commission. You may also order a copy, free of charge, by calling 1-800-232-6983.

As of December 31, 2019, the Company's net capital was \$49,788,532 and required net capital was \$298,911. The ratio of aggregate indebtedness to net capital (which may not exceed 15 to 1) was 0.09 to 1.

Comerica Securities, Inc.
411 West Lafayette
Mail Code 3137
Detroit, MI 48226
Phone: 800.232.6883

Comerica Securities, Inc.
Member FINRA/SIPC



March 1, 2020 - March 31, 2020
TRILOGY LA QUINTA COMMNTY SVC

Important Information and Disclosures

The Role of Pershing

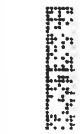
- Pershing carries your account as clearing broker pursuant to a clearing agreement with your financial institution. Pershing may accept from your financial institution without inquiry or investigation (i) orders for the purchase and sale of securities and other property and (ii) any other instructions concerning your account. Pershing is not responsible or liable for any acts or omissions of your financial institution or its employees and it does not supervise them. Pershing provides no investment advice nor does it assess the suitability of any transaction or order. Pershing acts as the agent of your financial institution and you agree that you will not hold Pershing or any person controlling or under common control with it liable for any investment losses incurred by you.
- Pershing performs several key functions at the direction of your financial institution. It acts as custodian for funds and securities you may deposit with it directly or through your financial institution or that it receives as the result of securities transactions it processes.
- Your financial institution is responsible for adherence to the securities laws, regulations and rules which apply to it regarding its own operations and the supervision of your account, its sales representatives and other personnel. Your financial institution is also responsible for approving the opening of accounts and obtaining account documents; the acceptance and, in certain instances, execution of securities orders; the assessment of the suitability of those transactions, where applicable; the rendering of investment advice, if any, to you and in general, for the ongoing relationship that it has with you.
- Inquiries concerning the positions and balances in your account may be directed to the Pershing Customer Service Department at (201) 413-3333. All other inquiries regarding your account or activity should be directed to your financial institution. Your financial organization's contact information can be found on the first page of this statement.
- For a description of other functions performed by Pershing please consult the Disclosure Statement provided to you upon the opening of your account. This notice is not meant as a definitive enumeration of every possible circumstance, but as a general disclosure. If you have any questions regarding this notice or if you would like additional copies of the Disclosure Statement, please contact your financial institution.
- Pershing is a member of the Securities Investor Protection Corporation (SIPC®). Please note that SIPC does not protect against loss due to market fluctuation. In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits. For more detailed information please visit: www.pershing.com/about/strength-and-stability.
- This statement will be deemed conclusive. You are advised to report any inaccuracy or discrepancy (including unauthorized trading) promptly, but no later than ten days after receipt of this statement, to your financial organization and Pershing. Please be advised that any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act.
- Your financial organization's contact information can be found on the first page of this statement. Pershing's contact information is as follows: **Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.** Errors and Omissions excepted.

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
- The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.

Important Arbitration Agreement

Any controversy between you and Pershing LLC shall be submitted to arbitration before the Financial Industry Regulatory Authority. No person shall bring a putative or certified class action to arbitration, nor seek to enforce any predispute arbitration agreement against any person who has initiated in court a putative class action, who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or



Important Information and Disclosures (continued)

Important Arbitration Agreement (continued)

(III) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this agreement except to the extent stated herein. The laws of the State of New York govern.

Pershing's contact information is as follows: Pershing LLC, Legal Department, One Pershing Plaza, Jersey City, New Jersey 07399; (201) 413-3330.



TERMS AND CONDITIONS

TRANSACTIONS

- ALL ORDERS AND TRANSACTIONS SHALL BE SOLELY FOR YOUR ACCOUNT AND RISK SHALL BE SUBJECT TO THE CONSTITUTION, RULES, REGULATIONS, CUSTOMS, USAGES, RULINGS AND INTERPRETATIONS OF THE EXCHANGE OR MARKET AND THE CLEARING FACILITY, IF ANY, WHERE THE TRANSACTIONS ARE EXECUTED AND/OR SETTLED, OR IF APPLICABLE, OF THE FINANCIAL INDUSTRY REGULATORY AUTHORITY AND TO ALL APPLICABLE LAWS AND REGULATIONS.
- TITLE TO SECURITIES SOLD TO YOU, WHERE PERSHING HAS ACTED AS PRINCIPAL, SHALL REMAIN WITH PERSHING UNTIL THE ENTIRE PURCHASE PRICE IS RECEIVED OR UNTIL THE SETTLEMENT DATE, WHICHEVER IS LATER.
- YOU MAY HAVE RECEIVED CONFIRMATIONS FOR TRANSACTIONS WHICH DO NOT APPEAR ON YOUR STATEMENT. IF SO, THE TRANSACTIONS WILL APPEAR ON YOUR NEXT PERIODIC STATEMENT. SUCH TRANSACTIONS MUST BE CONSIDERED BY YOU WHEN COMPUTING THE VALUE OF YOUR ACCOUNT. THIS IS ESPECIALLY TRUE IF YOU HAVE WRITTEN OPTIONS WHICH HAVE BEEN EXERCISED.

FREE CREDIT BALANCES: ANY FREE CREDIT BALANCE CARRIED FOR YOUR ACCOUNT REPRESENTS FUNDS PAYABLE UPON DEMAND WHICH, ALTHOUGH PROPERLY ACCOUNTED FOR ON PERSHING'S BOOKS OF RECORD, ARE NOT SEGREGATED AND MAY BE USED IN THE CONDUCT OF ITS BUSINESS.

DEBIT BALANCES: INTEREST CHARGED ON DEBIT BALANCES IN YOUR ACCOUNT APPEARS ON THE STATEMENT. THE RATE OF INTEREST AND PERIOD COVERED ARE INDICATED. THE RATE MAY CHANGE FROM TIME TO TIME DUE TO FLUCTUATIONS IN MONEY RATES OR OTHER REASONS. INTEREST IS COMPUTED AS DESCRIBED IN MATERIAL PREVIOUSLY FURNISHED TO YOU. PLEASE CONTACT YOUR FINANCIAL INSTITUTION IF YOU DESIRE ADDITIONAL COPIES.

MARGIN INFORMATION: IF YOU MAINTAIN A MARGIN ACCOUNT, THIS IS A COMBINED STATEMENT OF YOUR GENERAL ACCOUNT AND A SPECIAL MEMORANDUM ACCOUNT MAINTAINED FOR YOU UNDER REGULATION T OF THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM. THE PERMANENT RECORD OF THE SEPARATE ACCOUNT AS REQUIRED BY REGULATION T IS AVAILABLE FOR YOUR INSPECTION UPON REQUEST.

TAX INFORMATION

- AFTER YEAR END, PERSHING IS REQUIRED TO PROVIDE TAX INFORMATION TO THE INTERNAL REVENUE SERVICE AND OTHER GOVERNMENTAL AUTHORITIES. AT THAT TIME PERSHING WILL PROVIDE THAT INFORMATION ON THE ANNUAL TAX INFORMATION STATEMENT TO YOU; USE THAT STATEMENT TO PREPARE YOUR TAX FILINGS. THE TAX STATEMENT ALSO INCLUDES OTHER USEFUL INFORMATION TO ASSIST IN ACCUMULATING THE DATA TO PREPARE YOUR TAX RETURNS.
- DIVIDENDS, INTEREST AND OTHER DISTRIBUTIONS SHOWN ON THIS STATEMENT WERE CLASSIFIED AS TAXABLE OR NON-TAXABLE BASED ON CERTAIN INFORMATION KNOWN AS OF THE DISTRIBUTION DATE. THIS CLASSIFICATION IS SUBJECT TO CHANGE AND IS SOLELY INTENDED FOR USE AS GENERAL INFORMATION.
- PERSHING DOES NOT PROVIDE TAX, INVESTMENT OR LEGAL ADVISORY SERVICES AND NO ONE ASSOCIATED WITH PERSHING IS AUTHORIZED TO RENDER SUCH ADVICE. DO NOT RELY UPON ANY SUCH ADVICE, IF GIVEN. INVESTORS ARE ENCOURAGED TO CONSULT THEIR TAX ADVISORS TO DETERMINE THE APPROPRIATE TAX TREATMENT OF THEIR BUSINESS.

GENERAL INFORMATION

- WHENEVER YOU ARE INDEBTED TO PERSHING LLC ("PERSHING") FOR ANY AMOUNT, ALL SECURITIES HELD BY IT FOR YOU IN ANY ACCOUNT IN WHICH YOU HAVE ANY INTEREST SHALL SECURE ALL YOUR LIABILITIES TO PERSHING, AND PERSHING MAY IN ITS DISCRETION AT ANY TIME, WITHOUT TENDER, DEMAND OR NOTICE TO YOU, CLOSE OR REDUCE ANY OR ALL OF YOUR ACCOUNTS BY PUBLIC OR PRIVATE SALE OR PURCHASE OR BOTH OF ALL OR ANY SECURITIES CARRIED IN SUCH ACCOUNTS; ANY BALANCE REMAINING DUE PERSHING TO BE PROMPTLY PAID BY YOU.
- WHENEVER YOU ARE INDEBTED TO PERSHING FOR ANY AMOUNT, ALL SECURITIES CARRIED FOR YOUR ACCOUNT ARE OR MAY BE, WITHOUT FURTHER NOTICE TO YOU, LOANED OR PLEDGED BY PERSHING, EITHER SEPARATELY OR UNDER CIRCUMSTANCES WHICH WILL PERMIT THE COMMINGLING THEREOF,

WITH OTHER SECURITIES FOR ANY AMOUNT LESS THAN, EQUAL TO OR GREATER THAN YOUR LIABILITIES TO PERSHING, BUT NOT UNDER CIRCUMSTANCES FOR AN AMOUNT PROHIBITED BY LAW. PERSHING MAY TRADE FOR ITS OWN ACCOUNT AS A MARKET MAKER, SPECIALIST, ODD LOT DEALER, BLOCK POSITIONER, ARBITRAGEUR OR INVESTOR. CONSEQUENTLY, AT THE TIME OF ANY TRANSACTION YOU MAY MAKE, PERSHING MAY HAVE A POSITION IN SUCH SECURITIES, WHICH POSITION MAY BE PARTIALLY OR COMPLETELY HEDGED.

- IF AVERAGE PRICE TRANSACTION IS INDICATED ON THE FRONT OF THIS STATEMENT YOUR FINANCIAL INSTITUTION OR PERSHING MAY HAVE ACTED AS PRINCIPAL, AGENT OR BOTH. DETAILS AVAILABLE UPON REQUEST.
- A FINANCIAL STATEMENT OF PERSHING IS AVAILABLE FOR YOUR PERSONAL INSPECTION AT PERSHING'S OFFICES. A COPY OF IT WILL BE MAILED UPON YOUR WRITTEN REQUEST OR YOU CAN VIEW IT ONLINE AT WWW.PERSHING.COM.
- FOR BUSINESS CONTINUITY AND ADDITIONAL DISCLOSURES: WWW.PERSHING.COM/DISCLOSURES.
- THIS STATEMENT SHOULD BE RETAINED FOR YOUR RECORDS.

PAYMENT FOR ORDER FLOW AND ORDER ROUTING POLICY DISCLOSURES (REGULATION NMS - RULE 607 (A) (1) - (2))

PERSHING SENDS EQUITY AND OPTION ORDERS TO EXCHANGES, OR BROKER-DEALERS AS MARKET MAKERS OR AUTOMATED TRADING SYSTEMS (ATSS). CERTAIN OF THESE VENUES PROVIDE PAYMENTS TO PERSHING OR CHARGE ACCESS FEES TO PERSHING DEPENDING UPON THE CHARACTERISTICS OF THE ORDER AND ANY SUBSEQUENT EXECUTION. THE DETAILS OF THESE PAYMENTS AND FEES ARE AVAILABLE UPON WRITTEN REQUEST. COMPENSATION IS GENERALLY IN THE FORM OF A PER SHARE OR PER OPTION CONTRACT CASH PAYMENT. IN ADDITION, PERSHING ROUTES CERTAIN EQUITY TO ITS AFFILIATE, BNY MELLON CAPITAL MARKETS, LLC, FOR EXECUTION AS PRINCIPAL.

BEST EXECUTION: NOTWITHSTANDING THE PREVIOUS PARAGRAPH REGARDING PAYMENT FOR ORDER FLOW, PERSHING SELECTS CERTAIN MARKET CENTERS TO PROVIDE EXECUTION OF OVER-THE-COUNTER AND EXCHANGE-LISTED SECURITIES TRANSACTIONS WHICH AGREE TO ACCEPT ORDERS, TRANSMITTED ELECTRONICALLY UP TO A SPECIFIED SIZE, AND TO EXECUTE THEM AT OR BETTER THAN THE NATIONAL BEST BID OR OFFER (NBBO). IN CERTAIN SECURITIES THAT ARE NOT ELECTRONICALLY QUOTED, PERSHING DIRECTLY CONTACTS MARKET CENTERS TO OBTAIN AN EXECUTION. THE DESIGNATED MARKET CENTERS TO WHICH ORDERS ARE AUTOMATICALLY ROUTED ARE SELECTED BASED ON THE CONSISTENT HIGH QUALITY OF THEIR EXECUTIONS AND THEIR ABILITY TO PROVIDE OPPORTUNITIES FOR EXECUTIONS AT PRICES SUPERIOR TO THE NBBO. PERSHING ALSO REGULARLY REVIEWS REPORTS FOR QUALITY OF EXECUTION PURPOSES.

IF ANY OF THE ABOVE TERMS AND CONDITIONS ARE UNACCEPTABLE TO YOU, PLEASE NOTIFY PERSHING IMMEDIATELY IN WRITING BY CERTIFIED MAIL TO ONE PERSHING PLAZA, JERSEY CITY, NJ 07399, ATTN: LEGAL DEPT



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